



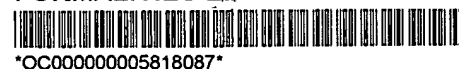
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/740,542	12/18/2000	John H. Howard	5181-59700

CONFIRMATION NO. 8378

FORMALITIES LETTER



OC000000005818087

Lawrence J. Merkel
Conley, Rose, & Tayon, P.C.
P.O. Box 398
Austin, TX 78767

05/11/2001 TGEDR&U1 00000001 501505 09740542

Date Mailed: 03/02/2001

02 FC:101 710.00 CH
03 FC:102 240.00 CH
04 FC:103 198.00 CH
05 FC:105 130.00 CH

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/11/2001 TGEDR&U1 00000001 501505 09740542

FILED UNDER 37 CFR 1.53(b)

01 FC:115 110.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$438.
 - \$198 for 11 total claims over 20.
 - \$240 for 3 independent claims over 3 .
- o The oath or declaration is missing.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1278.**

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Howard, et al.

Serial No. 09/740,542

Filed: December 18, 2000

For: Direct Access from Client
to Storage Device

Group Art Unit: 2151

Examiner: Unknown

Atty. Dkt. No.: 5181-59700

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date indicated below:

B. Noël Kivlin

Name of Registered Agent

May 7, 2001

Date _____

Signature

TRANSMITTAL

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is the following with regard to the above-identified application:

- (a) An Assignment of the invention executed by the inventor(s).
- (b) A combined Declaration and Power of Attorney executed by the inventor(s).
- (c) Request for Extension of Time.
- (d) Fee Authorization form in the amount of \$1,428.00 to cover the filing fee for large entity (\$710.00), additional claims (\$438.00), assignment (\$40.00), one month extension of time (\$110.00), and surcharge for late filing of oath or declaration for large entity (\$130.00).

- (e) A copy of Notice to File Missing Parts of Application Filing Date Granted.
- (f) Notice of Change of Address.
- (g) A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5181-59700/BNK.

Respectfully submitted,



B. Noël Kivlin
Reg. No. 33,929
Attorney for Applicants

Conley, Rose & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767-0398
Ph: (512) 476-1400
Date: 5-7-01



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Examiner: Unknown

Atty. Dkt. No.: 5181-59700

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date indicated below:

B. Noël Kivlin  
Name of Registered Agent

May 7, 2001  
Date

## Signature \_\_\_\_\_

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5181-59700/BNK:

|                                                                      |                   |
|----------------------------------------------------------------------|-------------------|
| Filing fee for large entity                                          | \$710.00          |
| Additional claims                                                    | \$438.00          |
| Assignment                                                           | \$ 40.00          |
| One Month Extension of Time                                          | \$110.00          |
| Surcharge for late filing of oath<br>or declaration for large entity | <u>\$130.00</u>   |
| <b>TOTAL</b>                                                         | <b>\$1,428.00</b> |

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5181-59700/BNK.

**B. Noël Kivlin**  
**Reg. No. 33,929**  
**Attorney for Applicants**

Conley, Rose & Tayon, P.C.  
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Ph: (512) 476-1400  
Date: May 7, 2001